



Charges for Shanghai and Shenzhen Connect Stock Trading 深港通及滬港通股票交易收費

Services 服務	Charges 收費
General Transactions 一般交易	
Basic Commission 基本佣金	Internet Trading Order : 0.25% on gross amount (Min RMB200) 電子網絡交易落盤：每宗交易額之 0.25% (最低 人民幣200) Call-in and Dealer Order : 0.30% on gross amount (Min RMB200) 電話或與交易員落盤：每宗交易額之 0.30% (最低 人民幣200)
Stamp Duty (the State Administration of Taxation (SAT)) 政府印花稅 (國家稅務總局)	0.1% of the consideration of a transaction on the seller (rounded up to the nearest dollar) 只收取賣方成交金額 0.1% (不足一港元亦作一港元計算)
Handling Fee (SSE / SZSE) 經手費 (上交所/深交所)	0.00487% of the consideration of a transaction per side 雙邊成交金額的 0.00487%
Securities Management Fee (CSRC) 證管費 (中國證監會)	0.002% of the consideration of a transaction per side 雙邊成交金額的 0.002%
Transfer Fee 過戶費/登記過戶費 (ChinaClear - Shanghai / Shenzhen and HKSCC) (中國結算 - 上海/深圳 及 香港結算)	ChinaClear - Shanghai / Shenzhen 中國結算 - 上海/深圳：0.002% of the consideration of a transaction per side 雙邊成交金額的 0.002% HKSCC 香港結算：0.002% of the consideration of a transaction per side 雙邊成交金額的 0.002%
Portfolio Fee (CCASS) 組合費 (香港中央結算)	CCASS 香港中央結算：0.008% /month on the basis of the market value of daily portfolio (the market value of daily portfolio x 0.008% / 365); Min HKD100/Month 0.008% 以每日倉值計算，每月收取一次(持有股值 x 0.008% / 365日)；最低 港幣100/每月
Statement Postage Service Fee 郵寄結單服務費	HK\$20 / Month HK\$20/ 每月
Compulsory Share Buy-in 強制性補購罰款	CCASS Default Fee or 1% of gross value 款額由結算公司指定或成交金額之1%

CCASS Depository Service 中央結算服務收費	
SI / ISI - Stock Withdrawal (Per Stock) 轉去其他公司 / 個人的 交收指示 - 提取 (每隻股票)	0.25% of gross value of last trading day's closing price (Min. RMB\$30) 上日收市總值之0.25% (最低 RMB30)
SI / ISI - Stock Deposit (Per Stock) 轉入 由其他公司 / 個人的 交收指示 - 提取 (每隻股票)	Free 免費

Nominee Services & Corporate Actions 代理人服務及企業行動	
Dividend Tax (the State Administration of Taxation (SAT)) 股息稅 (國家稅務總局)	10% on gross dividend amount 淨股息的10%，於派發股息時扣除
Cash Dividend Collection / Scrip Dividend Collection 代收現金股息 / 以股息代息	0.50% of Dividend amount which is less than RMB500,000 (股息 RMB500,000以下：Min 最低 RMB20) 0.25% of Dividend amount which is RMB500,000 or more (股息 RMB500,000或以上)
Cash Offer / Share Privatization 現金收購 / 股票私有化	0.25% of gross offer 所收金額計 (Min HK\$100 / Max HK\$10,000) (最低 HK\$100 / 最高 HK\$10,000) (Exclude Stamp Duty, Scrip Fee & CCASS fee) (不包政府印花稅、轉名費 & 中央結算收費)
Corporate Action Services Fee 代履行權責服務費	RMB100 handling fee + RMB1.5 per board / odd lot (Subscription of Rights/Warrants, Privatization & Open Offer etc.) 人民幣100 手續費 + 人民幣1.5 每手股票 / 碎股 (代供股權/認股權、私有化、收購等)

Other Services 其他費用	
Re-print Statement 重印結單	HK\$100 per copy 每份
Inactive account (Suspension of mailing Monthly Statement for Inactive Account) 非活躍戶口 (非活躍客戶不設郵寄月結單服務)	RMB100 per year (The account will be "Dormant" when there is no cash and stock balance in the past year.) The handling fee will be charged in January of the following year if there is not any activity in the past year. Definition : The account cash balance is HK\$1,000 or less with no record of transaction of stock as well as no stock inventory held in the accounts. 每年 RMB100 (若戶口內沒有結餘或股票，戶口將被設定為"暫停戶") 如在過去一年內未有動用之戶口，有關手續費將於翌年之一月份收取。 定義：客戶戶口之現金結餘為或少於港幣一千元正、沒有股票交易或存倉記錄。
Returned cheque 退票	RMB200 per cheque 每張
T/T (Telegraphic Transfer) 電匯	Bill at cost & handling fee RMB100 實報實銷 & 手續費 RMB100

All the above fees and levies will be collected from CCASS Participants' designated bank accounts at day-end of T day.

以上所有稅費項目將於T日結束時，從香港結算參與者的指定銀行賬戶收取。

Fees and taxes to be confirmed with the relevant regulators/authorities:

有待進一步與監管及稅務部門確定的收費及稅項如下表：

Other Services 其他費用	
New CCASS Fee (HKSCC) 新的中央結算系統收費 (香港結算)	A new fee for providing depository and nominee services to CPs for SSE Securities (Subject to SFC's approval) 就滬港通股票向結算參與者提供託管及代理人服務的新收費 (待香港證監批准)
Dividend Tax (the State Administration of Taxation (SAT)) 紅利稅 (國家稅務總局)	Applicable to cash dividend and bonus issue (Subject to clarification with SAT) Withheld upon dividend payment 適用於紅利及送股 (待國家稅務總局釐清) 將於分派時預扣
Capital Gain Tax (the State Administration of Taxation (SAT)) 資本增值稅 (國家稅務總局)	Applicable to share disposal (Subject to clarification with SAT) 適用於股份賣出 (待國家稅務總局釐清)

Note: Charges & fees are subject to change without prior notice.

Dividend tax will be withheld by issuers of SSE Securities and ChinaClear upon dividend payment. The New CCASS Fee, if implemented, will be collected in HKD on a monthly basis.

註：本公司將不時檢討服務收費而不作另行通知。

紅利稅將於滬港通股票發行人及中國結算分派時預扣。新的中央結算系統收費一旦實施，將按月以港幣收取。