

**Charges for Shanghai and Shenzhen Connect A-shares Trading**  
**上海/深圳A股(滬/深港通)股票交易收費**

Services 服務	Charges 收費
<b>General Transactions 一般交易</b>	
Basic Commission 基本佣金	Internet Trading Order : 0.25% on gross amount (Min RMB200) 電子網絡交易落盤：每宗交易額之 0.25% (最低 人民幣200) Call-in and Dealer Order : 0.30% on gross amount (Min RMB200) 電話或與交易員落盤：每宗交易額之 0.30% (最低 人民幣200)
Stamp Duty (the State Administration of Taxation (SAT)) 政府印花稅 (國家稅務總局)	0.1% of the consideration of a transaction on the seller (rounded up to the nearest dollar) 只收取賣方成交金額 0.1% (不足一元亦作一元計算)
Handling Fee (SSE / SZSE) 經手費 (上交所/深交所)	0.00487% of the consideration of a transaction per side 雙邊成交金額的 0.00487%
Securities Management Fee (CSRC) 證管費 (中國證監會)	0.002% of the consideration of a transaction per side 雙邊成交金額的 0.002%
Transfer Fee 過戶費/登記過戶費 (ChinaClear - Shanghai / Shenzhen and HKSCC) (中國結算 - 上海/深圳 及 香港結算)	ChinaClear - Shanghai / Shenzhen 中國結算 - 上海/深圳：0.002% of the consideration of a transaction per side 雙邊成交金額的 0.002% HKSCC 香港結算：0.002% of the consideration of a transaction per side 雙邊成交金額的 0.002%
Portfolio Fee (CCASS) 組合費 (香港中央結算)	CCASS 香港中央結算：0.008% /month on the basis of the market value of daily portfolio (the market value of daily portfolio x 0.008% / 365); Min HKD100/Month 0.008% 以每日倉值計算，每月收取一次(持有股值 x 0.008% / 365日)；最低 港幣100/每月
Compulsory Share Buy-in 強制性補購罰款	CCASS Default Fee or 1% of gross value 款額由結算公司指定或成交金額之1%

<b>CCASS Depository Service 中央結算服務收費</b>	
SI / ISI - Stock Withdrawal (Per Stock) 轉去其他公司 / 個人的 交收指示 - 提取 (每隻股票)	0.25% of gross value of last trading day's closing price (Min. RMB\$30) 上日收市總值之0.25% (最低 RMB30)

<b>Nominee Services &amp; Corporate Actions 代理人服務及企業行動</b>	
Dividend Tax (the State Administration of Taxation (SAT)) 股息稅 (國家稅務總局)	10% on gross dividend amount 淨股息的10%，於派發股息時扣除
Cash Dividend Collection / Scrip Dividend Collection 代收取現金股息 / 以股息代息	0.50% of Dividend amount which is less than RMB500,000 (股息 RMB500,000以下：Min 最低 RMB20) 0.25% of Dividend amount which is RMB500,000 or more (股息 RMB500,000或以上)
Cash Offer / Share Privatization 現金收購 / 股票私有化	0.25% of gross offer 所收金額計 (Min HK\$100 / Max HK\$10,000) (最低 HK\$100 / 最高 HK\$10,000) (Exclude Stamp Duty, Scrip Fee & CCASS fee) (不包政府印花稅、轉名費 & 中央結算收費)
Corporate Action Services Fee 代履行權責服務費	RMB100 handling fee + RMB1.5 per board / odd lot (Subscription of Rights/Warrants, Privatization & Open Offer etc.) 人民幣100 手續費 + 人民幣1.5 每手股票 / 碎股 (代供股權/認股權、私有化、收購等)

All the above fees and levies will be collected from CCASS Participants' designated bank accounts at day-end of T day.  
 以上所有稅費項目將於T日結束時，從香港結算參與者的指定銀行賬戶收取。

Note: Charges & fees are subject to change without prior notice.  
 註：本公司將不時檢討服務收費而不作另行通知。