

私隱政策與個人資料收集聲明

私隱政策

此私隱政策聲明("聲明")應用於中富證券有限公司("中富證券"或"我們")的客戶。

中富證券可以不時更改本聲明,並於本網站張貼有關變動。中富證券亦可決定(但並無義務)將任何重大變動以電郵通知其用戶。

中富證券竭力妥善處理個人資料以保障客戶的私隱。中富證券將確保中富證券及各聯營公司及代理就收集、使用、保留、披露、傳輸、 保安及存取個人資料所採用的各項政策和實務守則,均符合《個人資料(私隱)條例》(香港法例第486章)(下稱「該條例」),以及由香港 個人資料私隱專員公署所發出的相關實務守則及指引之規定。本聲明中「個人資料」乃依照該條例的定義詮釋。

個人資料的用途

中富證券從閣下收集得來的個人資料將用於下列各項:

- 在中富網站提供服務,並且執行條款及條件所述的政策; 1.
- 2. 中富證券營運業務所需的個人資料;
- 有助於瞭解閣下的財務需要;及 3.
- 向閣下提供更佳的客戶服務和產品。

除非法例、政府機構或任何其他法律或調查程序規定,否則中富證券不會刻意向任何人士披露用戶資料。用戶授權中富證券以不 提供特定姓名、地址、電話號碼或電郵地址的形式,向第三方披露用戶資料來推廣本網站的服務。

中富證券保留權利就用戶的行為和特徵進行統計分析,以衡量用戶對網站各方面內容的興趣和使用,及向廣告商提供有關資料以 及曾瀏覽和點擊其廣告橫額的用戶人數。中富證券可能向第三方提供來自這些分析的匯集數據。

在不限制前述條文的一般性的原則下,用戶一經接納本文所述的條款及條件,即表示同意允許中富證券儲存、編製和維持有關用 戶資料及因使用本網站提供的服務所獲得的其他資料的資料庫,並且同意中富證券在有關本網站的服務的行政、運作及管理事務 使用該等資料。中富證券將就有關資料的編製及收集擁有版權。在不限制前述條文的一般性的原則下,用戶一經接納本文所述的 條款及條件,即表示同意允許中富證券儲存、編製和維持有關用戶資料及因使用本網站提供的服務所獲得的其他資料的資料庫, 並且同意中富證券在有關本網站的服務的行政、運作及管理事務使用該等資料。中富證券將就有關資料的編製及收集擁有版權。

保留個人資料

收集所得的個人資料絕對保密,但中富證券或會將有關資料披露或轉移給聯營公司、又或外間的人士/公司/機構,包括(但不限於) 就中富證券的業務運作而向其提供行政、電訊、電腦或其他服務的代理、承辦商或第三方服務供應商、任何對中富證券有保密職責的其 他人士、交易所、金融監管機構及政府機構。除上述人士/公司/機構外,未獲得有關資料的人士同意前,中富證券絕不會向其他人士 /公司/機構披露任何收集來的個人資料。

保安措施

中富證券經互聯網收取閣下的個人資料時,將使用適當的加密措施。礙於互聯網的性質限制,中富證券不能保證這些措施絕對穩妥安全。 中富證券也將採取適當步驟以確保其持有的個人資料的安全。這將包括密碼保護以及其他電子及實物方式的保安方法(視適用情況而定), 確保只有經許可的人士才可以接觸有關個人資料。

除另行說明,否則閣下瀏覽本網站時中富證券只會收集閣下到訪的記錄及網路協定位址(IP Address),而不會收集閣下任何個人資料。 中富證券的網站任何部份所設的 Cookies (如有)不會用於收集個人資料。Cookies 是可保存於網頁使用者電腦內的小型電腦檔案,功能 是獲取配置資料及分析網頁使用者的瀏覽習慣。使用者儲存 Cookies 後,再次瀏覽網站便不必重新註冊。網站常會用 Cookies 追蹤使用者 喜好的網站主題,閣下可修改相關的互聯網選項或電腦系統的瀏覽喜好設定,拒絕儲存 Cookies,然而閣下可能因此無法使用或啟動中富 證券的網站提供的某些功能。中富證券的網站可能會攔截不接受 Cookies 的使用者。

拒絕接收資料

中富證券將應要求讓任何用戶隨時選擇「拒絕再接收」進一步的宣傳資料。此外,中富證券將應要求而採取合理措施,讓用戶更新/更 正其於過去提交,但現時表示屬錯誤的個人資料,前提是該等活動不會損及私隱或保安權益。此外,中富證券將應用戶的要求,採取商 業上合理的措施,於其資料庫中在操作上刪除用戶及其個人資料,但因備份及刪除記錄的原故,在刪除用戶的條目後仍可能遺留若干剩 餘資料。

儘管用戶可選擇拒絕接收宣傳及/或市場推廣資料,但中富證券保留權利就賬戶狀況、用戶協議的改動及與相關服務及/或所收集資料 有關的其他事官而聯絡用戶。

本人已經閱遍上文及明白此私隱政策之全部內容,謹此聲明本人願意承擔上述的種種風險。

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Privacy Policy & Personal Information Collection Statement

Private Policy

This Privacy Policy Statement also applies to SR Wealth Securities Limited (SWSL) clients.

SR Wealth Securities Limited may make changes to this Privacy Statement from time to time and such changes will be posted on this site. SR Wealth Securities Limited may also decide, but is not obliged to notify its Users by email of significant changes.

We are committed to safeguard the privacy of individuals with respect to your personal data. SR Wealth Securities Limited assures that our policies and practices, and those adopted by our affiliated companies and agents in relation to the collection, use, retention, disclosure, transfer, security and access of your personal data comply with the requirements under the Personal Data (Privacy) Ordinance (Chapter 486) (the "Ordinance") under the laws of Hong Kong, as well as the relevant code of practice and guidance issued by the Office of the Privacy Commissioner for Personal Data, Hong Kong. The meaning of the term "personal data" adopted in this Statement is defined in the Ordinance.

Use of Personal Data

Personal data collected from you on this website will be used for the particular purposes:

- makes use of User Information to deliver the services available on our website, and to enforce its policies as stated in the Terms and
- 2. collect personal information to conduct our business.
- 3. understand your financial needs
- provide you with better customer services and products.

SR Wealth Securities Limited will not intentionally disclose User Information to anyone else except as may be required by law, governmental authorities or any other legal or investigative process. The User grants SR Wealth Securities Limited the right to disclose User Information in $such form\ that\ does\ not\ provide\ specific\ names,\ addresses,\ phone\ numbers\ or\ email\ addresses\ to\ third\ parties\ for\ the\ purposes\ of\ promoting$ the services on SWSL website.

SR Wealth Securities Limited reserves the right to perform statistical analyses of user behavior and characteristics in order to measure interest in and use of the various areas of the site and to inform advertisers of such information as well as the number of users that have been exposed to or clicked on their advertising banners. SR Wealth Securities Limited may provide aggregated data from these analyses to third parties.

By accepting the terms and conditions herein, and without limitation as to the generality of the foregoing, the User agrees to allow SR Wealth Securities Limited to store, compile and maintain the databases of user information and other information derived from the use of the services on SWSL website; and that SR Wealth Securities Limited may use such information in the administration, operation and management of our services. SR Wealth Securities Limited will own the copyright in respect of any compilation(s) and collections of such information.

Retention of Personal Data

Personal data collected will be kept confidential, but SR Wealth Securities Limited may disclose or transfer such data to associated companies including but not limited to agents, contractors or third party service providers which provide administrative, telecommunications, computer or other services to SR Wealth Securities Limited in connection with the operation of its business, any other person under a duty of confidentiality to SR Wealth Securities Limited and the Securities and Futures Commission. The personal data will never disclose any personal information for any other purposes without your consent unless such use is permitted or required by law or regulation.

Security

SR Wealth Securities Limited will use such encryption measures as may be appropriate for the collection of your personal data via the Internet. Given the nature of the Internet, SR Wealth Securities Limited cannot guarantee that these measures are 100% secure. SR Wealth Securities Limited will also take such steps as are appropriate to ensure the security of personal data held by it. This will include password protection and other electronic and physical security methods, where appropriate, to ensure that the personal data can only be accessed by its authorized personnel.

When you visit our websites, we will record your visit and IP Address only and will not collect any personal data from you unless otherwise stated. Cookies used (if any) in any part of our websites will not be deployed for collecting personal data. For your information, Cookies are small computer files that can be stored in web surfers' computers for the purposes of obtaining configuration information and analyzing web surfers' viewing habits. They can save you from registering again when re-visiting a website and are commonly used to track your preferences in relation to the subject matter of the website. You may refuse to accept Cookies by modifying the relevant Internet options or browsing preferences of your computer system, but to do so you may not be able to utilize or activate certain available functions in our websites. Our websites may bar users who do not accept Cookies.

Opt Out

Upon request, SR Wealth Securities Limited will allow any user to "opt out" of further promotional contacts at any time. Additionally, upon request, SR Wealth Securities Limited will use reasonable efforts to allow users to update/correct personal information previously submitted which the user states are erroneous to the extent such activities will not compromise privacy or security interests. Also, upon a user's request, SR Wealth Securities Limited will use commercially reasonable efforts to functionally delete the user and his or her personal information from its database; however, it may be impossible to delete a user's entry without some residual information because of backups and records of deletions.

Notwithstanding any opt-out of receiving promotional and/or marketing information by the user, SR Wealth Securities Limited reserves the right to contact a subscriber regarding account status, changes to the subscriber agreement and other matters relating to the underlying service and/or the information collected.

I confirm I have read and acknowledge that I understand the contents of the Risk Disclosure Statement above, and agree to accept the risks stated therein.

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