

**Charges for Hong Kong Securities Trading 香港股票交易收費**

Services 服務	Charges 收費
<b>General Transactions 一般交易</b>	
Basic Commission 基本佣金	Internet Trading Order : 0.20% on gross amount (Min HK\$100) 電子網絡交易落盤 : 每宗交易額之 0.20% (最低 HK\$100) Call-in and Dealer Order : 0.25% on gross amount (Min HK\$100) 電話或與交易員落盤 : 每宗交易額之 0.25% (最低 \$100)
Stamp Duty 政府印花稅	0.1% on gross amount (rounded up to the nearest dollar) 每宗交易額之 0.1% (不足一港元亦作一港元計算)
SFC Transaction Levy 證監會交易徵費	0.0027% on gross amount 每宗交易額之 0.0027%
HKEx Trading Fee 香港交易及結算所交易費	0.00565% on gross amount 每宗交易額之 0.00565%
Settlement Fee 結算費	0.006% on gross amount (Min HK\$5 / Max HK\$1,000) 每宗交易額之 0.006% (最低 HK\$5 / 最高 HK\$1,000)
Financial Reporting Council Transaction Levy 財匯局交易徵費	0.00015% on gross amount 每宗交易額之 0.00015%
Stocks Custodian Fee (Per Stock) 股票保管費(每隻股票)	0.50% of the market value of the closing price on the last trading day (payable quarterly) 上日收市總值之0.50% (每季收取)
Statement Postage Service Fee 郵寄結單服務費	HK\$20 / Month HK\$20 / 每月
E-IPO Application (Non-financing & thro our nominee) 電子認購新股 (不融資及經本公司代理人)	HK\$100 handling fee (No Refund ) HK\$100 手續費 (不設退款)
Compulsory Share Buy-in 強制性補購罰款	CCASS Default Fee or 0.5% of gross value 款額由結算公司指定或成交金額之0.5%
Italian Financial Transactions Tax (applies to Italian resident companies, e.g. #1913) 意大利金融交易稅 (適用於由常駐意大利公司發行的股份, 如 #1913)	The applicable tax rate to transfers of Shares performed on the Hong Kong Stock Exchange is 0.20% Calculation Method : Net purchase share quantity x Weighted Average Price of purchases x Tax Rate(0.20%) 於香港聯交所進行股份轉讓的適用稅率為0.20% 計法: 當日淨買入股數 x 當日加權平均買入價 x 稅率(0.20%)
<b>CCASS Depository Service 中央結算服務收費</b>	
Stock Withdrawal via <b>CCASS (SI / ISI)</b> (Per Stock) 股票轉出 - 經中央結算 (其他公司/個人)(每隻股票)	0.25% of the market value of the closing price on the last trading day (Min. HK\$30) 上日收市總值之0.25% (最低 HK\$30)
Stock Deposit (Physical) 存入股票 (現貨)	Free 免費 (Excluding Transfer Deed Stamp Duty HK\$5 per transfer deed) (不包括轉手紙證印費每張 HK\$5)
Stock Withdrawal (Physical) 提取股票 (現貨)	HK\$5 per board / odd lot 每手股票 / 碎股 (Min. HK\$30 per each stock 每隻股票最低 HK\$30)
<b>Nominee Services &amp; Corporate Actions 代理人服務及企業行動</b>	
Scrip Fee (Book Close) 截止過戶費	HK\$1.5 per board / odd lot 每手股票 / 碎股 (Deemed Book close Scrip Fee 沒有公司行動之中央結算截止過戶)
Cash Dividend Collection / Scrip Dividend Collection 代收取現金股息 / 以股息代息	0.50% of Dividend amount which is less than HK\$500,000 (股息 HK\$500,000以下: Min 最低 HK\$20) 0.25% of Dividend amount which is HK\$500,000 or more (股息 HK\$500,000或以上)
Cash Offer / Share Privatization 現金收購 / 股票私有化	0.25% of gross offer 所收金額計 (Min HK\$100) (最低 HK\$100) (Exclude Stamp Duty, Scrip Fee & CCASS fee) (不包括政府印花稅、轉名費 & 中央結算收費)
Warrant / Callable Bull / Bear Contracts Exercise / Mandatory Call of Callable Bull / Bear Contracts 行使認股權證 / 牛熊證 / 強制贖回牛熊證	HK\$50 per transaction and CCASS fee 每宗 HK\$50 另加中央結算收費
Share Subdivision / Share Consolidation / Re-organisation 股票拆細 / 合併 / 重組等事項	HK\$30 per transaction 每宗計
Rights & Warrants Exercise Fee 認購權(供股) / 認股權證行使費	HK\$1.5 per board / odd lot 每手股票 / 碎股 Plus HK\$100 handling fee 另加手續費
Corporate Action Services Fee 代履行權責服務費	HK\$1.5 per board / odd lot 每手股票 / 碎股 (Min 最低 HK\$20)
Bonus Shares / Rights Issue Collection 代收取紅股、供股權	HK\$30 per transaction 每宗計
Claims for Dividend / Bonus (charged whether the claim is succeed or not) 代客追討股息 / 紅股 (無論追收成功與否, 均須繳付)	HK\$400 per claim & 2% on gross dividend & CCASS handling charges (Min HK\$300) (Exclude registrar fee HK\$2.5 per board / odd lot) 每期手續費 HK\$400 & 2% 總額計 & 結算所收費 (最低收費 HK\$300) 不包過戶處收費 (HK\$2.5 每手股票 / 碎股)
<b>Other Services 其他費用</b>	
Share Register 代客股票過戶	HK\$50 per each stock 每隻股票 Plus \$2.5 per board / odd lot, at least 14 working days 另加過戶處收費 HK\$2.5 每手股票 / 碎股, 最少14個工作天
Re-print Monthly Statement 重印月結單	HK\$100 per month 每月
Inactive account (Suspension of mailing Monthly Statement for Inactive Account) 非活躍戶口 (非活躍客戶不設郵寄月結單服務)	HK\$100 per year (The account will be "Dormant" when there is no cash and stock balance in the past year.) The handling fee will be charged in January of the following year if there is not any activity in the past year. Definition : The account cash balance is HK\$1,000 or less with no record of transaction of stock as well as no stock inventory held in the accounts. 每年 HK\$100 (若戶口內沒有結餘或股票, 戶口將被設定為"暫停戶") 如在過去一年內未有動用之戶口, 有關手續費將於翌年之一月份收取。 定義: 客戶戶口之現金結餘為或少於港幣一千元正、沒有股票交易或存倉記錄。
Returned cheque 退票	HK\$150 per cheque 每張
T/T (Telegraphic Transfer) 電匯	Bill at cost & handling fee HK\$100 實報實銷 & 手續費 HK\$100
外幣兌換 Foreign Exchange	The Foreign Exchange Rate will be referred from Bank or Exchange Shop, and plus 10 basis points for Administrative Fee 外幣兌換通過銀行或其他外匯有限公司之參考兌換率加收10點子作行政費

Note : Charges & fees are subject to change without prior notice.  
註: 本公司將不時檢討服務收費而不作另行通知。

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